

<p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> <div style="position: absolute; top: 10px; left: 10px; border: 1px solid black; border-radius: 50%; padding: 5px; text-align: center;">             DEC 08 2003              PATENT &amp; TRADEMARK OFFICE           </div>	Docket Number (Optional) <b>BENDER 02.01</b>	Application Number <b>10/656,647</b>
	Applicant(s) <b>BENDER</b>	
	Filing Date <b>September 5, 2003</b>	Group Art Unit <b>1615</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NB/	3,670,725	6.20.72	GAYLORD, Jr.	128	149	
NB/	4,367,732	1.11.83	POULSEN et al.	128	156	

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
NB/	PCT/GB91/01745	4.16.92	PCT	A61F	13/06		
NB/	WO 02/09653	2.7.02	PCT	A61K	7/00		
NB/	EP 0671162	8.10.90	EUROPE	A61K	7/48		
NB/	EP 0974369	5.18.99	EUROPE	A61L	15/24		
NB/	JP2000109427	4.18.00	JAPAN (w/abstract)	A61K	9/70		

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER                      Ny Buil	DATE CONSIDERED      5/22/07
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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANTSDOCKET NO.  
593/002 CIP1 Cont.APPLICANTS  
Robert A. VanTassel et al.FILING DATE  
September 4, 2003APPLICATION NO.  
10/656,647CONFIRMATION NO.  
8112GROUP ART UNIT  
Not yet assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/VBI/	178,283	6/6/1876	French	604	109	
	1,967,318	7/24/1934	Monahan	604	106	
	3,844,302	10/29/1974	Klein	135	26	
	3,874,388	4/1/1975	King et al.	606	232	
	4,007,743	2/15/1977	Blake	606	232	
	4,341,218	7/27/1982	Ü	606	195	
	4,585,000	4/29/1986	Hershenson	606	194	
	4,603,693	8/5/1986	Conta et al.	227	179.1	
	4,665,906	5/19/1987	Jervis	606	78	
	4,710,192	12/1/1987	Liotta et al.	606	108	
	4,917,089	4/17/1990	Sideris	606	215	
	4,921,484	5/1/1990	Hillstead	604	104	
	5,037,810	8/6/1991	Saliba, Jr.	514	56	
	5,041,090	8/20/1991	Scheglov et al.	604	101.02	
	5,041,093	8/20/1991	Chu	604	104	
	5,042,707	8/27/1991	Taheri	606	213	
	5,053,009	10/1/1991	Herzberg	604	104	
	5,064,435	11/12/1991	Porter	623	23.7	
	5,078,736	1/7/1992	Behl	623	1	
	5,108,420	4/28/1992	Marks	606	213	
	5,171,259	12/15/1992	Inoue	606	213	
	5,176,692	1/5/1993	Wilk et al.	606	151	
/VBI/	5,192,301	3/9/1993	Kamiya et al.	606	213	

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NB/	5,256,146	10/26/1993	Ensminger et al.	604	104	
	5,258,042	11/2/1993	Mehta	600	36	
	5,284,488	2/8/1994	Sideris	606	213	
	5,306,234	4/26/1994	Johnson	604	49	
	5,334,217	8/2/1994	Das	606	213	
	5,350,399	9/27/1994	Erlebacher et al.	606	213	
	5,353,784	10/11/1994	Nady-Mohamed	600	205	
	5,370,657	12/6/1994	Irie	606	200	
	5,375,612	12/27/1994	Cottenceau et al.	128	899	
	5,417,699	5/23/1995	Klein et al.	606	144	
	5,421,832	6/6/1995	Lefebvre	264	173.11	
	5,425,744	6/20/1995	Fagan et al.	606	213	
	5,433,727	7/18/1995	Sideris	606	213	
	5,443,454	8/22/1995	Tanabe et al.	604	264	
	5,451,235	9/19/1995	Lock et al.	606	213	
	5,464,408	11/7/1995	Duc	606	108	
	5,469,867	11/28/1995	Schmitt	128	898	
	5,490,856	2/13/1996	Person et al.	606	139	
	5,522,822	6/4/1996	Phelps et al.	606	151	
	5,522,836	6/4/1996	Palermo	606	200	
	5,527,322	6/18/1996	Klein et al.	606	144	
	5,527,338	6/18/1996	Purdy	606	200	
NB/	5,591,196	1/7/1997	Marin et al.	606	198	

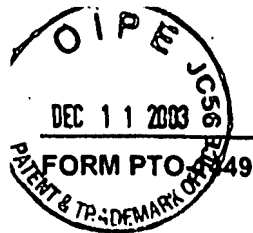
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NBI	5,614,204	3/25/1997	Cochrum	424	423	
	5,634,936	6/3/1997	Linden et al.	606	213	
	5,634,942	6/3/1997	Chevillon et al.	623	1	
	5,637,097	6/10/1997	Yoon	604	176	
	5,643,292	7/1/1997	Hart	606	144	
	5,649,953	7/22/1997	Lefebvre	606	200	
	5,662,671	9/2/1997	Barbut et al.	606	170	
	5,669,933	9/23/1997	Simon et al.	600	200	
	5,681,347	10/28/1997	Cathcart et al.	606	200	
	5,690,671	11/25/1997	McGurk et al.	606	200	
	5,693,067	12/2/1997	Purdy	606	200	
	5,695,525	12/9/1997	Mulhauser et al.	623	11	
	5,702,421	12/30/1997	Schneidt	606	213	
	5,709,224	1/20/1998	Behl et al.	128	898	
	5,709,707	1/20/1998	Lock et al.	606	213	
	5,725,552	3/10/1998	Kotula et al.	606	213	
	5,725,568	3/10/1998	Hastings	623	11.21	
	5,733,294	3/31/1998	Forber et al.	606	151	
	5,735,290	4/7/1998	Sterman et al.	128	898	
	5,749,883	5/12/1998	Halpern	606	159	
	5,749,894	5/12/1998	Engelson	606	213	
	5,766,219	6/16/1998	Horton	606	191	
NBI	5,769,816	6/23/1998	Barbut et al.	604	96	

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NB/	5,776,097	7/7/1998	Massoud	604	500	
	5,782,860	7/21/1998	Epstein et al.	606	213	
	5,800,454	9/1/1998	Jacobsen et al.	606	191	
	5,810,874	9/22/1998	Lefebvre	606	200	
	5,823,198	10/20/1998	Jones et al.	128	899	
	5,830,228	11/3/1998	Knapp et al.	606	195	
	5,836,913	11/17/1998	Orth et al.	604	107	
	5,836,968	11/17/1998	Simon et al.	606	200	
	5,846,260	12/8/1998	Maahs	606	200	
	5,846,261	12/8/1998	Kotula et al.	606	213	
	5,849,005	12/15/1998	Garrison et al.	606	1	
	5,851,232	12/22/1998	Lois	623	1	
	5,855,597	1/5/1999	Jayaraman	623	1	
	5,865,791	2/2/1999	Whayne et al.	604	49	
	5,865,802	2/2/1999	Yoon et al.	604	104	
	5,868,708	2/9/1999	Hart et al.	604	104	
	5,876,367	3/2/1999	Kaganov et al.	604	8	
	5,882,340	3/16/1999	Yoon	604	164.12	
	5,885,258	3/23/1999	Sachdeva et al.	604	530	
	5,895,399	4/20/1999	Barbut et al.	606	159	
	5,904,703	5/18/1999	Gilson	606	213	
	5,906,207	5/25/1999	Shen	128	898	
NB/	5,910,154	6/8/1999	Tsugita et al.	606	200	

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NB/	5,911,734	6/15/1999	Tsugita et al.	606	200	
	5,916,236	6/29/1999	Mujis Van de Moer et al.	606	213	
	5,928,192	7/27/1999	Maahs	604	96	
	5,928,260	7/27/1999	Chin et al.	606	200	
	5,935,147	8/10/1999	Kensey et al.	606	213	
	5,935,148	8/10/1999	Villar et al.	606	213	
	5,941,249	8/24/1999	Maynard	128	898	
	5,947,997	9/7/1999	Pavcnik et al.	606	213	
	5,951,589	9/14/1999	Epstein et al.	606	213	
	5,954,694	9/21/1999	Sunseri	604	96	
	5,957,940	9/28/1999	Tanner et al.	606	155	
	5,976,174	11/2/1999	Ruiz	606	213	
	5,980,555	11/9/1999	Barbut et al.	606	200	
	5,989,281	11/23/1999	Barbut et al.	606	200	
	5,993,469	11/30/1999	McKenzie et al.	606	159	
	5,997,557	12/7/1999	Barbut et al.	606	159	
	6,007,523	12/28/1999	Mangosong	604	284	
	6,007,557	12/28/1999	Ambrisco et al.	606	200	
	6,010,517	1/4/2000	Baccaro	606	151	
	6,010,522	1/4/2000	Barbut et al.	606	200	
	6,024,754	2/15/2000	Engelson	606	213	
	6,024,755	2/15/2000	Addis	606	213	
NB/	6,024,756	2/15/2000	Huebsch et al.	606	213	

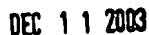
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

**APPLICANTS**  
Robert A. VanTassel et al.

**FILING DATE**  
September 4, 2003

**APPLICATION NO.**  
**10/656,647**

**CONFIRMATION NO.**  
8112

**GROUP ART UNIT**  
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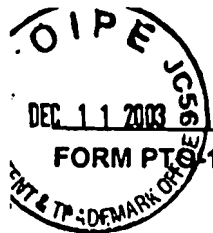
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NB/	6,027,520	2/22/2000	Tsugita et al.	606	200	
	6,033,420	3/7/2000	Hahnen	606	185	
	6,036,720	3/14/2000	Abrams et al.	606	213	
	6,042,598	3/28/2000	Tsugita et al.	606	200	
	6,048,331	4/11/2000	Tsugita et al.	604	96	
	6,051,014	4/18/2000	Jang	606	200	
	6,051,015	4/18/2000	Maahs	606	200	
	6,056,720	5/2/2000	Morse	604	96	
	6,063,070	5/16/2000	Eder	606	1	
	6,068,621	5/30/2000	Balceta et al.	604	500	
	6,074,357	6/13/2000	Kaganov et al.	604	8	
	6,079,414	6/27/2000	Roth	128	898	
	6,080,182	6/27/2000	Shaw et al.	606	213	
	6,080,183	6/27/2000	Tsugita et al.	606	213	
	6,083,239	7/4/2000	Addis	606	200	
	6,132,438	10/17/2000	Fleischman et al.	606	139	
	6,136,016	10/24/2000	Barbut et al.	606	200	
	6,139,527	10/31/2000	Laufer et al.	604	114	
	6,152,144	11/28/2000	Lesh et al.	128	898	
NB/	6,161,543	12/19/2000	Cox et al.	128	898	

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

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NB/	WO 93/13712	7/22/1993	PCT	A61B	17/00		
	WO 97/21402	6/19/1997	PCT	A61F A61M	2/06 29/02		
NB/	WO 97/28749	8/14/1997	PCT	A61B	17/068		
	WO 98/02100	1/22/1998	PCT	A61B	17/12		
NB/	WO 98/17187	4/30/1998	PCT	A61B	17/36, 8/00		
	WO 98/23322	6/4/1998	PCT	A61M	29/00		
NB/	WO 99/05977	2/11/1999	PCT	A61B	17/12		
NB/	WO 99/07289	2/18/1999	PCT	A61B	17/00		
NB/	WO 99/08607	2/25/1999	PCT	A61B	17/12		
NB/	WO 99/30640	6/24/1999	PCT	A61F	02/ 06		
NB/	WO 00/27292	5/18/2000	PCT	A61B	17/08		
NB/	WO 01/21247	3/29/2001	PCT	A61M	29/00		

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NB/	Dotter et al., "Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report", <u>Radiology</u> Vol. 147, No. 1, Pages 259-260, April 1983
NB/	Cragg et al., "Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire", <u>Radiology</u> Vol 147, No. 1, Pages 261-263, April 1983
NB/	Cragg et al., "A New Percutaneous Vena Cava Filter", <u>ALJ</u> , 141:601-604, September 1983
NB/	Sugita et al., "Nonsurgical Implantation of a Vascular Ring Prosthesis Using Thermal Shape Memory Ti/Ni Alloy (Nitinol Wire)", <u>Trans. Am. Soc. Artif. Intern. Organs</u> , Vol. XXXII, 30-34, 1986
NB/	Ruttengberg, "Nonsurgical Therapy of Cardiac Disorders", <u>Pediatric Consult</u> , Vol. 5, Number 2, pages not numbered, 1986
NB/	Rashkind et al., "Nonsurgical Closure of Patent Ductus Arteriosus: Clinical Application of the Rashkind PDA Occluder System", <u>Circulation</u> 75, No. 3, 583-592, 1987
NB/	Lock et al., "Transcatheter Umbrella Closure of the Congenital Heart Defects", <u>Circulation</u> , Vol. 75, No. 3, 593-599, 1987.

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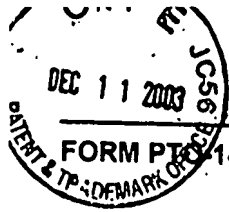
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DOCKET NO. 593/002 CIP1 Cont.	APPLICATION NO. 10/656,647
APPLICANTS Robert A. VanTassel et al.	CONFIRMATION NO. 8112
FILING DATE September 4, 2003	GROUP ART UNIT Not yet assigned

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EXAMINER INITIAL	
/VB/	Wessel et al., "Outpatient Closure of the patent ductus arteriosus", <u>Circulation</u> , Vol 77, No.5, 1068-1071, 1998
/VB/	Lock et al., "Transcatheter Closure of Atrial Septal Defects", <u>Circulation</u> , Vol. 79, No. 5, 1091-1099, May 1989.

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